



DEPARTMENT OF THE NAVY

COMMANDER SUBMARINE FORCE
UNITED STATES PACIFIC FLEET
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PEARL HARBOR, HI 96860-4664

5830

Ser N02L/C 0001

10 Jan 08

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of basic letter

SECOND ENDORSEMENT on [REDACTED] USN, ltr of [REDACTED] b(6)
1 Nov 07

From: Commander Submarine Force, U.S. Pacific Fleet

To: File

Subj: COMMAND INVESTIGATION INTO INTEGRITY VIOLATIONS ONBOARD
USS HAMPTON (SSN 767)

1. Pursuant to reference (a), subject investigation has been reviewed.

2. Subject investigation is modified as follows:

a. Opinion 1 is deleted and replaced with the following:
"Commander Portland set unachievable standards for his crew, was intolerant of failure, and publicly berated personnel. He failed to exercise oversight of personnel and processes and failed to train his leadership team to effectively manage issues under their cognizance. These factors created a poor command climate that directly contributed to problems identified in this investigation. Further, these factors contributed to his failure to identify these problems for over one year. FF 3, 5 - 9, 12, 16, 23."

b. Opinion 9 is deleted and replaced with the following:
"Junior Officers onboard HAMPTON took advantage of loose exam practices to pass written examinations. These test results did not reflect their actual level of knowledge. Specifically, the officers used an officer exam file to prepare for the written examinations and took advantage of poor proctoring (i.e. no proctor or proctors providing hints or answers). FF 3, 7, 9-17, 24, 25, 27."

c. Recommendation 5 is deleted and replaced with the following: "Forward all supporting evidence of alleged misconduct regarding [REDACTED] to [REDACTED] [REDACTED] for review and action as that officer deems appropriate." b(6)

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d. Recommendation 7 is deleted and replaced with the following: "Forward all supporting evidence of alleged misconduct regarding [REDACTED] to [REDACTED] for review and action as that officer deems appropriate." b(6)

e. Recommendations 8, 11 and 12 are disapproved.

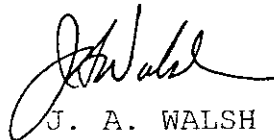
3. Additional information relative to specific comments in the second endorsement are as follows:

a. Following independent review by [REDACTED] commanding officer, it was determined he was not culpable and no further action is contemplated. b(6)

b. Following independent review by [REDACTED] commanding officer, he was administered nonjudicial punishment for his actions as noted herein while attached to USS HAMPTON. b(6)

4. The actions taken to address the issues identified and investigated in HAMPTON are necessary to return the performance of HAMPTON's crew to appropriate Navy standards for operation and maintenance of nuclear-powered warships. The specific deficiencies identified during this investigation resulted in no unsafe operations or maintenance of reactor or propulsion plant systems and no loss of radioactive material. Nuclear reactor coolant samples were analyzed by a naval shipyard laboratory and data were sent to an independent Department of Energy laboratory, which confirmed no detrimental effects, neither short nor long-term, to the reactor. The initial indications that lead to the investigation were revealed by a routine Navy review which is executed to ensure continued safe operation and maintenance of nuclear-powered warships.

5. Subject to the foregoing, the findings of fact, opinions and recommendations are hereby approved. This investigation is closed.


J. A. WALSH

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DEPARTMENT OF THE NAVY
COMMANDER SUBMARINE SQUADRON 11
140 SYLVESTER ROAD
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Ser N00/ C0001
9 Jan 08

~~CONFIDENTIAL RESTRICTED DATA~~ -Unclassified upon removal of enclosure (1)

FIRST ENDORSEMENT on [REDACTED] USN, ltr of 1 Nov 07 b(1)

From: Commander, Submarine Squadron 11
To: Commander Submarine Force, U.S. Pacific Fleet
Subj: COMMAND INVESTIGATION INTO INTEGRITY VIOLATIONS ONBOARD
USS HAMPTON (SSN 767) (U)

Ref: (a) Manual of the Judge Advocate General (U)

Encl: (1) Command Investigation Report Regarding Integrity
Issues onboard USS HAMPTON (SSN 767) (w/encl) (U)

1. Enclosure (1) is readdressed and forwarded.
2. I concur with the findings, opinions, and recommendations of the Investigating Officer, with the following comments:

a. The Commanding Officer, CDR Michael B. Portland, was relieved by CDR William J. Houston on 25 October 2007, and is being processed for detachment for cause.

b. The [REDACTED] was temporarily relieved by [REDACTED] Submarine Squadron Eleven Engineer, on 25 October 2007. The permanent relief, [REDACTED] reported 3 December 2007. [REDACTED] is being processed for detachment for cause. b(3), b(6)

c. [REDACTED] was charged with a violation of UCMJ Article 133-Conduct Unbecoming an Officer and Gentleman and was administered non-judicial punishment at Commodore's Mast on 31 October 2007. He is being processed for Additional Qualification Designator (AQD) removal. b(3), b(6)

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CG-RN-1 Rev 3 of Feb 96

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d. [REDACTED] was charged with a violation of UCMJ Article 133-Conduct Unbecoming an Officer and Gentleman, and was administered non-judicial punishment at Commodore's Mast on 9 October 2007. He is being processed for AQD removal. b(3), b(6)

e. [REDACTED] the [REDACTED] [REDACTED] was charged with a violation of UCMJ Article 92-Dereliction of Duty and Article 107-False Official Documents, and was administered non-judicial punishment at Commodore's Mast on 31 October 2007. He is being processed for Navy Enlisted Classification (NEC) code removal. b(3), b(6)

f. [REDACTED] the [REDACTED] [REDACTED] was charged with a violation of UCMJ Article 92-Dereliction of Duty and Article 107-False Official Documents, and was administered non-judicial punishment at Commodore's Mast on 31 October 2007. He is being processed for NEC code removal. b(3), b(6)

g. [REDACTED] command, [REDACTED] [REDACTED] was contacted and provided relevant information concerning his performance as [REDACTED] while serving onboard USS HAMPTON. Follow-up action will be taken, as appropriate. b(3), b(6)

h. [REDACTED] command, [REDACTED] [REDACTED], was contacted and provided with relevant information concerning his performance while serving onboard USS HAMPTON as [REDACTED]. Follow-up action will be taken, as appropriate. b(6)

i. Two [REDACTED] and [REDACTED] returned to USS HAMPTON for duty following the Preliminary Investigation based on the determination that they were subject to poor initial training and qualification. However, the TYCOM subsequently directed that they be processed for NEC code removal and they have been transferred to Submarine Squadron 11 pending completion of administrative action. The two remaining [REDACTED] and [REDACTED] are also being processed for NEC code removal. b(3), b(6)

3. All other Investigating Officer recommendations are being acted upon by USS HAMPTON, with oversight by Commander, Submarine Squadron 11. The actions have either been implemented or scheduled.

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4. My point of contact is [REDACTED] He may be reached at [REDACTED] b(6)

Paul N. Jaenichen
P. N. JAENICHEN

1 Nov 07

From: [REDACTED] USN, Investigating Officer
To: Commander, Submarine Squadron 11

Subj: COMMAND INVESTIGATION INTO INTEGRITY VIOLATIONS ONBOARD
USS HAMPTON (SSN 767) (U)

- Encl: (1) Appointment Order of 25 Oct 07 (U)
- (2) NAVPERS 1626/7 Report and Disposition of Offenses (U)
- (3) Sworn Statement of [REDACTED] of 9 Oct 07 (U)
- (4) Sworn Statement of [REDACTED] of 16 Oct 07 (U)
- (5) Sworn Statement of [REDACTED] of 25 Oct 07 (U)
- (6) Sworn Statement of [REDACTED] of 10 Oct 07 (U)
- (7) Sworn Statement of [REDACTED] of 16 Oct 07 (U)
- (8) Sworn Statement of [REDACTED] of 12 Oct 07 (U)
- (9) Sworn Statement of [REDACTED] of 11 Oct 07 (U)
- (10) Sworn Statement of [REDACTED] of 24 Oct 07 (U)
- (11) Sworn Statement of [REDACTED] of 11 Oct 07 (U)
- (12) Sworn Statement of [REDACTED] of 11 Oct 07 (U)
- (13) Sworn Statement of [REDACTED] of 11 Oct 07 (U)
- (14) Sworn Statement of [REDACTED] of 11 Oct 07 (U)
- (15) Sworn Statement of [REDACTED] of 25 Oct 07 (U)
- (16) Sworn Statement of [REDACTED] of 11 Oct 07 (U)
- (17) Sworn Statement of [REDACTED] of 11 Oct 07 (U)
- (18) Sworn Statement of [REDACTED] of 11 Oct 07 (U)
- (19) Sworn Statement of [REDACTED] of 11 Oct 07 (U)
- (20) Sworn Statement of [REDACTED] of 12 Oct 07 (U)
- (21) Sworn Statement of [REDACTED] of 11 Oct 07 (U)
- (22) Sworn Statement of [REDACTED] of 11 Oct 07 (U)
- (23) Sworn Statement of [REDACTED] of 16 Oct 07 (U)
- (24) Sworn Statement of [REDACTED] of 20 Oct 07 (U)
- (25) Sworn Statement of [REDACTED] of 24 Oct 07 (U)
- (26) Sworn Statement of [REDACTED] of 15 Oct 07 (U)
- (27) Sworn Statement of [REDACTED] of 24 Oct 07 (U)
- (28) Sworn Statement of [REDACTED] of 24 Oct 07 (U)
- (29) Sworn Statement of [REDACTED] of 24 Oct 07 (U)
- (30) Sworn Statement of [REDACTED] of 24 Oct 07 (U)
- (31) Summary of Interview of [REDACTED] of 18 Oct 07 (U)
- (32) Preliminary Inquiry Report of 15 Sep 07 (U)
- (33) Right's Advisement of [REDACTED] of 24 Oct 07 (U)

b(3),
b(4)

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Subj: COMMAND INVESTIGATION INTO INTEGRITY VIOLATIONS ONBOARD
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1. (U) This documents completion of the Command Investigation into subject allegations of misconduct. Enclosures (1) through (33) are provided for your review and consideration. The Preliminary Inquiry report which prompted this Command Investigation is included as enclosure (32).

FINDINGS OF FACT

1. (U) On 9 October 2007, [REDACTED] went to Commodore's Mast for integrity violations committed while onboard USS HAMPTON (SSN 767). At Mast, [REDACTED] pled guilty to his charges and made statements regarding his knowledge of other integrity violations. [Encl 2] b(3), b(6)

2. (U) After the Mast, [REDACTED] made three written statements in which he claimed several individuals onboard, including himself, had committed numerous integrity violations. [Encls 3 through 5] b(3), b(6)

3. (U) Specifically, [REDACTED] claimed the following individuals had committed integrity violations: b(1), b(6)

a. (U) Claimed that [REDACTED] and [REDACTED] had cheated on Officer of the Deck/Ship's Duty Officer (OOD/SDO) exams by providing them with [REDACTED] old OOD/SDO exam (which was very similar) and by giving them the password and file location for the answer key on the "S" drive. B(1), b(6)

b. (U) Claimed that [REDACTED] provided him with an answer key to a Contact Coordinator (CC) exam. b(3), b(6)

c. (U) Claimed that [REDACTED] and [REDACTED] had told [REDACTED] they had cheated on Engineering Officer of the Watch/Engineering Duty Officer (EOOW/EDO) exams. b(3), b(6)

d. (U) Claimed that [REDACTED] bragged about writing and/or routing the answer keys to all [REDACTED] qualification exams. b(3); b(6)

e. (U) Claimed that in July or August 2007, [REDACTED] gave both [REDACTED] the answer key to an exam [REDACTED] was proctoring and that [REDACTED] took the exam with the answer key underneath his exam and looked at the answer key during the exam. b(3); b(6)

f. (U) Claimed that twice in the last year the [REDACTED] and [REDACTED] e-mailed out the EOOW/EWS exam and requested the officers to take them at their leisure. b(3), b(6)

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g. (U) Claimed that [REDACTED] entered a false grade for an EOOW/EWS exam that he [REDACTED] never took. b(3), b(6)

h. (C) Claimed that [REDACTED] were looking at an answer key for a Pre-PORSE exam on a computer in Stateroom 2. [REDACTED] also stated that [REDACTED] caught [REDACTED] and [REDACTED] looking at the answer key and orally admonished them. b(3), b(6)

i. (U) Claimed that the [REDACTED] implied that [REDACTED] should enter false grades for officers in the training binder by directing him to ensure all officers pass the re-exams he was proctoring. b(3); b(6)

j. (U) Claimed that [REDACTED] stated that [REDACTED] was in the Engineerroom so infrequently that he was getting good at signing his signature for him on Engineering Department documents. b(3); b(6)

k. (C) Claimed that the [REDACTED] tried to cover up the fact that a [REDACTED] was used to remove paint off the [REDACTED] by having [REDACTED] agree that a kim-wipe was used instead. He additionally claimed that the [REDACTED] knew of using a [REDACTED] and that the [REDACTED] went with the [REDACTED] to the [REDACTED] to show them how a kim-wipe could be used to remove paint. b(3); b(6)

l. (U) Claimed that [REDACTED] from [REDACTED] failed to inform the chain of command of improper disposal procedures for COMSEC materials, and that [REDACTED] told [REDACTED] to "Just do it right from now on." b(3); b(6)

m. (U) Claimed [REDACTED] had backdated the CMS-25 to indicate the actual date the documents were destroyed vice the date he signed the form. [Encls 3 through 5] b(3); b(6)

4. (U) Submarine Squadron 11 initiated an investigation into the allegations and interrogated all implicated personnel currently serving onboard USS HAMPTON. [Encls 6 through 30]

5. (U) Many of [REDACTED] claims were verified by sworn statements given by USS HAMPTON personnel. [Encls 6 though 30] b(3); b(6)

6. (U) [REDACTED], the [REDACTED], onboard USS HAMPTON, was questioned and admitted to entering false grades for [REDACTED] on Engineering Department exams [REDACTED] never took. He also admitted to sending an e-mail to [REDACTED] b(3); b(6)

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personnel containing the location of the August re-exam they were to take on an upcoming Engineering Department exam. [Encls 5 and 7]

7. (U) [redacted] the current [redacted] and former [redacted] onboard USS HAMPTON, was questioned and admitted to entering fictitious engineering exam scores for himself and the prior [redacted], and for improperly grading exams of several officers in order to help them pass. He also admitted to showing [redacted] the exam key during an exam in May 2007 that [redacted] brought to the exam. Additionally, [redacted] admitted he would correct "out of specification" equipment without informing his chain of command and without proper permission being granted. [Encl 8]

b(3);b(6)

8. (U) [redacted], the current [redacted] onboard USS HAMPTON, was questioned and admitted the following:

b(3);b(6)

a. (U) That he erroneously indicated a diesel inspection fully complete when it was not. He had properly informed officials off the ship regarding his decision.

b. (C) That he was aware personnel had improperly tried to use a [redacted] to attempt to scrape paint off of the [redacted] in order to complete an ultrasonic test of water level. [redacted] admitted that he failed to execute required actions. [redacted] claimed that when he inspected the work site, he found discolored areas on the [redacted] but did not find paint particles around the worksite or in the [redacted]. He claims the [redacted] showed him that the same discoloration could be accomplished by rubbing the paint with a kim-wipe. [redacted] denied telling anyone to cover up the use of a [redacted] on [redacted] and denied that the [redacted] had coerced him to change his story.

b(1);b(6)

c. (U) That he "looked the other way" when he observed officers taking un-proctored exams in their staterooms.

d. (U) [redacted] stated he was "not surprised" that [redacted] had admitted to entering fictitious officer exam scores.

b(3) b(6)

e. (U) [redacted] denied telling [redacted] to enter fictitious officer exam scores in the training binder. [Encls 9 and 10]

b(3);b(6)

f. (U) [redacted] admitted he had some suspicion the [redacted] falsified exam result records. [Encl 31]

b(3) b(6)

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9. (U) [REDACTED] was questioned and admitted that he ordered two fire control solutions changed to avoid having to make a contact report to the Commanding Officer. [REDACTED] also said he believed [REDACTED] and [REDACTED] had all cheated on written examinations, and that [REDACTED] had sent out an examination via e-mail before the exam date. [Encl 11] b(3); b(6)
10. (U) [REDACTED] was questioned and denied committing any integrity violations while onboard USS HAMPTON. [REDACTED] also stated that the former [REDACTED] and current [REDACTED] interviewed workers regarding the [REDACTED] incident and that either the [REDACTED] told him that a kim-wipe had been used vice a [REDACTED] could not recall which one of the two had told him this information. [Encl 12] b(3); b(6)
11. (U) [REDACTED] was questioned and denied committing any integrity violations while onboard USS HAMPTON. [Encl 13] b(3); b(6)
12. (U) [REDACTED] was questioned and admitted to taking Engineering Department written examinations for which he had prepared the answer key and that he had a copy of the answer key with him during the exam, on one occasion. [REDACTED] denied committing other integrity violations while onboard USS HAMPTON. [Encl 14 and 15] b(3); b(6)
13. (U) [REDACTED] was questioned and denied committing any integrity violations while onboard USS HAMPTON. He specifically denied forging initials or signatures of other officers. [Encl 16] b(3); b(6)
14. (U) [REDACTED] was questioned and denied committing any integrity violations while onboard USS HAMPTON. [Encl 17] b(3); b(6)
15. (U) [REDACTED] was questioned and denied committing any integrity violations while onboard USS HAMPTON. [Encl 18] b(3); b(6)
16. (U) [REDACTED] was questioned and admitted to taking un-proctored written exams and receiving aid from the proctor during other exams. He also admitted to taking an exam he had written and to backdate the review of PMS schedules as the [REDACTED]. [Encls 19 and 20] b(3); b(6)
17. (U) [REDACTED] was questioned and denied committing any integrity violations while onboard USS HAMPTON. [Encl 21] b(3); b(6)
18. (U) [REDACTED] was questioned and could not recall signing the CMS-25, or backdating the document. [Encl 22] b(3); b(6)

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19. (U) [REDACTED] was questioned and stated that he believed [REDACTED] had indicated gages were calibrated when in fact they had not. [Encl 23]

b(2); b(6)

20. (c) [REDACTED] was questioned, via telephone, and denied having any knowledge of integrity violations by officers onboard HAMPTON while he served [REDACTED]. He stated that Weapons Department personnel were discovered cheating on qualification exams in early 2006 and the CO discussed exam security and integrity with the entire crew. Additionally, [REDACTED] stated that in late 2005 he had found an answer key to a future Engineering Department exam in the Maneuvering Area and immediately confiscated it and changed the exam. He also stated that he informed the CO and orally reprimanded the Engineering Department. [REDACTED] did not believe any exams or answer keys to the Pre-Operational Reactor Safeguards Examination (PORSE) or Engineering Department exams were compromised other than the incidents discussed. [Encl 24]

b(6)

21. (c) [REDACTED] was questioned, via telephone, and denied having any knowledge of integrity violations by officers onboard HAMPTON while he served [REDACTED]. [REDACTED] stated that there was an issue with a Sonar Division exam in mid 2006 where Sailors were taken to mast and another issue where a possibly compromised Navigation Electronics Technician (NAVET) exam was changed before administering the test in the summer of 2007. [REDACTED] denied knowledge of using a [REDACTED] on the [REDACTED]. [Encl 25]

b(6)

b(3)

22. (U) [REDACTED] added that there were issues with examination program turnover, particularly with access to computer files, when [REDACTED] relieved [REDACTED] in [REDACTED] 2007. [REDACTED] stated that he and [REDACTED] worked together to improve the engineering qualification drills, training, and examinations as part of a larger quality of life effort focused on the Engineering Department. [Encl 25]

b(6)

b(2); b(6)

23. (c) [REDACTED] was questioned and admitted to using a [REDACTED] to remove paint from the [REDACTED] in the fall of 2006. [REDACTED] asked him if he had used a [REDACTED] and that he said he had. [REDACTED] met with the [REDACTED] and explained that he had used a [REDACTED] to remove paint off the [REDACTED]. According to [REDACTED] [REDACTED] said that all work had to be stopped, that a critique had to be done, and that [REDACTED] was to start typing the facts. [REDACTED] started typing on a computer in the Wardroom and twice [REDACTED] came back and asked him if he was sure he had used a [REDACTED] and not a kim-wipe. [REDACTED] stated that both times he answered that a [REDACTED] was used. [REDACTED] stated that after 30 minutes

b(2); b(6)

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[REDACTED] returned and told him to stop typing, go talk to [REDACTED] and to get back to work. According to [REDACTED] he talked to [REDACTED] and was told a critique was not required. [Encl 26] b(3);b(6)

24. (U) [REDACTED] was questioned, via telephone, and denied ever cheating on a qualification exam or having any knowledge of others cheating. He did recall that a study guide or examination was prematurely e-mailed accidentally but that he could not remember what exam it was. He denied looking at the exam. [Encl 27] b(3);b(6)

25. (U) [REDACTED] was questioned via telephone and denied committing any integrity violations while onboard USS HAMPTON or having any knowledge of integrity violations by others. [Encl 28] b(3);b(6)

26. (U) [REDACTED] was questioned, via telephone, and stated he was unaware of anyone cheating on exams, entering false grades, or having answer keys readily available. [REDACTED] did recall one instance when an exam was placed on a public folder but stated that the exam was changed prior to being given since there was a possibility it was compromised. [REDACTED] also stated that he would take the exams he wrote to see if the answers were valid. According to [REDACTED] he would grade his own exams and enter the grades for himself in a matrix. He believed he should not have to take the exams, but that was the way it was done on HAMPTON. [Encl 29] b(3);b(6)

27. (U) [REDACTED] was questioned, via telephone, and denied committing any integrity violations while onboard USS HAMPTON, or having any knowledge of integrity violations by others. He did state that [REDACTED] and Officer of the Deck (OOD) exams were virtually identical to previous exams and that this fact was known to officers who used prior exams to study. [Encl 28] b(3);b(6)

28. (U) [REDACTED] was contacted via telephone on 24 October 2007 regarding the allegations made against him. He was advised of his rights pursuant to Article 31(b) of the UCMJ and invoked his right to remain silent regarding the allegations. [Encl 33] b(3);b(6)

OPINIONS

1. [REDACTED]

b(5)

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2. (U) [redacted] committed the integrity violations reported by [redacted] and those which he admitted. [FF 3 and 6] b(6) b(8)

3. (U) The [redacted] regularly falsified departmental exam scores as [redacted] to fill in gaps in the exam statistics and helped officers cheat on exams by providing or allowing them to review answer keys. [FF 3 and 7] b(3) b(6)

4. (U) The former [redacted] was a willful accomplice in falsifying grades during his tenure. He knew and approved of falsified records in the departmental training programs. [FF 7 and 8] b(3) b(6)

5. (U) The [redacted] attempted to persuade subordinates to misrepresent the use of a [redacted] to remove paint from the [redacted] to prevent having to report it off the ship or take arduous corrective actions after his assessment that the amount of paint removed was not substantial. This was partially due to a command climate that made it difficult to report mistakes, and due to a lack of moral courage as a senior shipboard leader. [FF 3, 10 and 23] b(3) b(6)

6. (U) The [redacted] knew or strongly suspected exam scores were being falsified and did not take the appropriate action. [FF 3 and 8] b(3) b(6)

7. (U) The [redacted] purposely maintained an appearance of ignorance of the integrity violations happening in his department and on the ship to preserve culpable deniability. [FF 3, 7, 8, 10 and 23] b(3) b(6)

8. (U) The [redacted] did not misrepresent or purposefully delay reporting a late diesel inspection. He did not understand the requirements and failed to refer to applicable guidance when appropriate. [FF 8] b(3) b(6)

9. [redacted] pra [redacted] ref [redacted] b(5)

10. (U) [redacted] willfully cheated on an Engineering Officer of the Watch/Engineering Duty Officer (EOOW/EDO) exam by bringing and using an exam key during the exam. [FF 3 and 7] b(3) b(6)

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11. (U) [REDACTED] did not forge each other's signatures. [FF 10 and 13] b(3) b(4)
12. (U) [REDACTED] did not falsify calibration results or records. Calibration issues are the result of a poorly managed program. [FF 19] b(2) b(4)
13. (C) The PORSE exam was not compromised. A ship generated pre-PORSE practice exam mistakenly e-mailed out was viewed by several officers. The exam was quickly recovered and appropriate action was taken. [FF 3, 20 and 24]
14. (C) The COMSUBRON EIGHT [REDACTED] took appropriate action per established guidance when informed of improper disposal of keymat tape cross-cut shred. [FF 3] b(3) b(4)
15. (U) The Communications Division [REDACTED] dated a CMS-25 form to indicate the day he witnessed the destruction, as appropriate. Not dating the form when he signed it was an oversight. [FF 3] b(3) b(4)
16. (U) [REDACTED] acted improperly by changing fire control system solutions to avoid making reports to the CO. His actions were motivated by fear of being reprimanded by the CO for disturbing him. [FF 9] b(2) b(4)
17. (C) There is insufficient evidence to prove the former [REDACTED] coerced personnel into covering up the use of a [REDACTED] on the [REDACTED]. [FF 21] b(3) b(4)
18. (U) The former [REDACTED] did not have direct knowledge of officers cheating while he was [REDACTED]. [FF 21] b(3) b(4)
19. (C) [REDACTED] did not take appropriate action to inform the CO when he felt the use of a [REDACTED] on the [REDACTED] was [REDACTED]. This failure to act was due in part to a lack of trust and confidence in the chain of command's reaction to problems that were prevalent throughout the crew. [FF 23] b(3) b(4)
20. (C) [REDACTED] colluded with [REDACTED] to minimize the impact to the ship following improper use of a [REDACTED] on the [REDACTED]. Since no record exists supporting proper disposition of the [REDACTED] of the [REDACTED]. [FF 3, 10 and 23] b(3) b(4)
21. (U) There is no evidence to corroborate the allegation that [REDACTED] provided [REDACTED] the key to a Contact Coordinator exam. Most likely, an old, graded exam was provided to [REDACTED] and the exam he actually took had most of the same questions. [FF 1 and 25] b(3) b(4)

Subj: COMMAND INVESTIGATION INTO INTEGRITY VIOLATIONS ONBOARD
USS HAMPTON (SSN 767) (U)

22. (U) There is no evidence to corroborate [redacted] allegation that [redacted] wrote any of the exams or keys for his qualification exams. Most likely, it was a sarcastic comment, similar to the one leading to the allegation that [redacted] signed documents for [redacted] [FF 1 and 28]

b(3); b(6)

23. (U) [redacted] did not waive his right to remain silent and made no statement upon advice of legal counsel. This action is not proof of guilt, but may indicate that, like many other Officers, he was unclear what constituted exam cheating onboard. [FF 28]

b(3) b(6)

24. (U) There is no evidence to corroborate [redacted] allegation that [redacted] were caught cheating by [redacted]. It is likely that these and other officers may have taken un-proctored exams near the end of the Depot Modernization Period (DMP) and that there were problems administering these exams that may have at least appeared to be integrity violations. Contributing, the ship gave an excessive number of exams to officers to support preparations for PORSE, crew certification, and various shipboard continuous training programs. [1, 12 and 20]

b(3) b(6)

25. (U) There is no evidence that [redacted] falsified records to indicate gages were calibrated when in fact they were not. [redacted] made this allegation based solely on the workload he perceived, not on any actual observation or admission of such falsification. [FF 19]

b(3) b(6)

26. (U) Exhaustive interviews and record reviews have uncovered no further evidence of integrity violations. However, because of the number and significance of violations uncovered, it is logical to conclude that there were other unreported violations, and that the individuals accused by [redacted] were involved in them. [FF 1 through 26]

b(3) b(6)

RECOMMENDATIONS

1. (U) Take no further action against CDR Portland. Detachment for cause is appropriate administrative action.

2. (U) Take no further action against [redacted] Detachment for cause is appropriate administrative action.

b(3) b(6)

3. (U) Charge [redacted] with Conduct Unbecoming an Officer and Gentleman.

b(3) b(6)

4. (U) Charge [redacted] with False Official Statements and Dereliction of Duty.

b(3) b(6)

Subj: COMMAND INVESTIGATION INTO INTEGRITY VIOLATIONS ONBOARD
USS HAMPTON (SSN 767) (U)

5. (U) [REDACTED]

b(5)

6. (U) Charge [REDACTED] with False Official Statements and
Dereliction of Duty.

b(3) b(4)

7. (U) [REDACTED]

b(5)

8. (U) [REDACTED]

b(5)

a. (U) [REDACTED]

b(5)

b. (U) [REDACTED]

b(5)

c. ~~(C)~~ [REDACTED]

b(3)
b(5)

d. (U) [REDACTED]

b(5)

9. (U) The Commanding Officer should counsel [REDACTED] for avoiding
required reports.

b(3) b(6)

10. ~~(C)~~ The Commanding Officer should counsel [REDACTED] for
failure to report possible wrongdoing to the Commanding Officer
regarding the use of a [REDACTED] on the [REDACTED]

b(3) b(4)

11. (U) [REDACTED]

b(5)

a. (U) [REDACTED]

b. (U) [REDACTED]

c. (U) [REDACTED]

} b(5)

Subj: COMMAND INVESTIGATION INTO INTEGRITY VIOLATIONS ONBOARD
USS HAMPTON (SSN 767) (U)

12. (U) 


b(5)

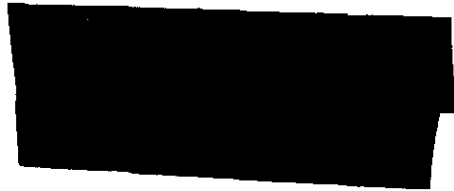
13. (U) Take no action against any other current USS HAMPTON crewmember.

14. (U) Improve examination procedures onboard USS HAMPTON. Specifically:

a. (U) Clarify whether personnel should take exams they prepare or review for approval

b. (U) Clarify how qualification exams should be controlled, use of version control, and whether or not review of previous qualification exams is permitted

c. (U) Provide guidance to all personnel on proper proctoring of written examinations. Select only mature, experienced personnel with good judgment to proctor exams.



b(6)

UNCLASSIFIED

15 Sep 07

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From: [REDACTED] USN, XXX-XX-[REDACTED]
To: Commander; Submarine Squadron 11

b(4)

Subj: PRELIMINARY INQUIRY REPORT IN THE CASE OF INTEGRITY
ISSUES ON USS HAMPTON (SSN 767)

Ref: (a) Manual for Courts-Martial United States, 1994 Edition
(b) Manual of the Judge Advocate General

- Encl: (1) Voluntary Statement of [REDACTED]
- (2) Voluntary Statement of [REDACTED]
- (3) Voluntary Statement of [REDACTED]
- (4) Voluntary Statement of [REDACTED]
- (5) Voluntary Statement of [REDACTED]
and LCDR Dennis Johnson
- (6) Voluntary Statement of [REDACTED]
- (7) Voluntary Statement of [REDACTED]
- (8) Voluntary Statement of [REDACTED]
- (9) Voluntary Statement of [REDACTED]
- (10) Voluntary Statement of [REDACTED]
- (11) Voluntary Statement of [REDACTED]
- (12) Voluntary Statement of [REDACTED]
- (13) Voluntary Statement of [REDACTED]
- (14) Voluntary Statement of [REDACTED]
- (15) Voluntary Statement of [REDACTED]
- (16) Suspect's Rights Acknowledgement/Statement of [REDACTED]
[REDACTED] (8 Sep 07)
- (17) Suspect's Rights Acknowledgement/Statement of [REDACTED]
[REDACTED] (9 Sep 07)
- (18) Suspect's Rights Acknowledgement/Statement of [REDACTED]
[REDACTED] (8 Sep 07)
- (19) Suspect's Rights Acknowledgement/Statement of [REDACTED]
[REDACTED] (8 Sep 07)
- (20) Suspect's Rights Acknowledgement/Statement of [REDACTED]
[REDACTED] (8 Sep 07)
- (21) Suspect's Rights Acknowledgement/Statement of [REDACTED]
[REDACTED] (2 Sep 07)
- (22) Suspect's Rights Acknowledgement/Statement of [REDACTED]
[REDACTED] (1 Sep 07)
- (23) Suspect's Rights Acknowledgement/Statement of [REDACTED]
[REDACTED] (2 Sep 07)

b(3) b(4)

Derived from: DOE-DOB Classification Guide
CG-RN-1, Revision 3, of Feb 96

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Unauthorized disclosure subject to Administrative
and Criminal Penalties.

ENCLOSURE 31

UNCLASSIFIED

Subj: PRELIMINARY INQUIRY REPORT IN THE CASE OF INTEGRITY
ISSUES ON USS HAMPTON (SSN 767)

- (24) Suspect's Rights Acknowledgement/Statement of [REDACTED] (2 Sep 07)
- (25) Suspect's Rights Acknowledgement/Statement of [REDACTED] (2 Sep 07)
- (26) Organizational Climate Survey (DEOCS) Report
- (27) Engineering Laboratory Technician Qualification Card for [REDACTED]
- (28) Engineering Laboratory Technician Qualification Card for [REDACTED]
- (29) Engineering Laboratory Technician Qualification Card for [REDACTED]
- (30) Engineering Laboratory Technician Qualification Card for [REDACTED]
- (31) Radioactive Material Log Sheet and Tag 767-0139-07
- (32) Radioactive Material Log Sheet and Tag 767-0141-07
- (33) Radioactive Material Log Sheet and Tag 767-0145-07
- (34) Radioactive Material Log Sheet and Tag 767-0156-07
- (35) Statement of CDR Michael B. Portland
- (36) Statement of [REDACTED]
- (37) Appointing Order
- (38) Qualification Goals and Summary Sheet for [REDACTED]
- (39) Qualification Goals and Summary Sheet for [REDACTED]
- (40) Qualification Goals and Summary Sheet for [REDACTED]
- (41) Qualification Goals and Summary Sheet for [REDACTED]
- (42) Qualification Goals and Summary Sheet for [REDACTED]
- (43) USS HAMPTON Safe Record Change Log
- (44) USS HAMPTON dtd Audit Trail Review Log
- (45) Radioactive Material Log Sheet and Tag 767-0001-07

b(3) b(6)

1. Preliminary Statement: With regard to the various integrity issues onboard USS HAMPTON (SSN 767), all requirements as to the procurement of evidence and all directives of the convening authority, as set forth in references (a) and (b), have been met.

Subj: PRELIMINARY INQUIRY REPORT IN THE CASE OF INTEGRITY
ISSUES ON USS HAMPTON (SSN 767)

2. Findings of Fact:

a. During qualification as [REDACTED] and [REDACTED] b(3) b(6)
[REDACTED] did not perform a [REDACTED] analysis as required by
HAMPTONINST C1500.1A and NAVSEA 389-0152, Water Chemistry Manual
Article 513.2. (Encls. 16, 18, 19, 20, 21, 23, 24, 25)

b. [REDACTED] signed the practical factor for [REDACTED] analysis b(3) b(6)
on [REDACTED] and [REDACTED] qualification cards.
(Encls. 27, 29)

c. [REDACTED] signed the practical factor for [REDACTED] analysis b(3) b(6)
on [REDACTED] qualification card. (Encl. 28)

d. [REDACTED] signed the practical factor for [REDACTED] analysis b(3) b(6)
on [REDACTED] qualification card. (Encl. 27)

e. Neither [REDACTED] nor [REDACTED] remembers the
circumstances surrounding the signature for the [REDACTED] analysis
practical factor. Since [REDACTED] was the [REDACTED] at b(3) b(6)
the time of the signature (June 2006), and would have been
required to perform all check chemistry, [REDACTED]
supposition that [REDACTED] asked [REDACTED] to sign the
qualification card because he had successfully performed that
and other related analyses is accepted as fact. Therefore, it
is accepted as fact that when [REDACTED] signed [REDACTED]
qualification card, he believed the practical factor had been
successfully performed. (Encls. 1, 16)

f. [REDACTED] was instructed not to perform [REDACTED] b(3) b(6)
analysis by [REDACTED] during his [REDACTED] qualification process. The
reason cited by [REDACTED] was that "ever since coming out of DMP
(March 2006) the sample results were wrong." (Encls. 20, 25)

g. During the period 17 November 2006 to 13 August 2007, b(3) b(6)
[REDACTED] logged [REDACTED] primary [REDACTED] analyses. In his
statement, he admitted all data entered was fabricated. (Encls.
20, 25)

h. [REDACTED] was aware that the other [REDACTED] could not b(3) b(6)
properly perform the [REDACTED] analysis since approximately February
2007. (Encls. 20, 25)

Subj: PRELIMINARY INQUIRY REPORT IN THE CASE OF INTEGRITY
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i. [redacted] obtained suspect [redacted] results on several occasions between 17 November 2006 and 2 September 2007. He admitted logging [redacted] and did not resample. [redacted] directed this action on at least one occasion. (Encls. 20, 25) b(3) b(6)

j. [redacted] recorded results for some daily and monthly [redacted] surveys required by NAVSEA S9213-33-MMA-000/(V), *Radiological Controls for Ships*, Article 515, without actually conducting the surveys. (Encls. 20, 25) b(3) b(6)

k. [redacted] recorded as complete Preventive Maintenance System (PMS) items required for [redacted] located in the CPO Quarters without actually conducting the required checks, on several occasions. The exact dates of non-compliance cannot be recovered. (Encls. 20, 25) b(3) b(6)

l. [redacted] attempted to perform [redacted] analysis at least [redacted] during the period 6 May 2007 - 26 June 2007. He obtained a suspect result and informed [redacted]. The [redacted] re-performed the analysis and obtained a similar value. [redacted] directed [redacted] to enter values consistent with expected results instead of performing the analysis in the future. (Encls. 16, 21) b(3) b(6)

m. [redacted] logged [redacted] primary [redacted] analyses during the period 6 May 2007 - 26 June 2007. In his statement, he admitted all data entered was fabricated. (Encls. 16, 21) b(3) b(6)

n. [redacted] was aware that the other [redacted] could not perform the [redacted] analysis. (Encls. 16, 21) b(3) b(6)

o. [redacted] was instructed not to perform [redacted] analysis during his [redacted] qualification process. Both [redacted] instructed him to falsify [redacted] results, which he admitted doing in his statement. (Encls. 18, 23) b(3) b(6)

p. During the period 15 June 2006 - 27 August 2006, [redacted] logged [redacted] primary [redacted] analyses. In his statement, he admitted that all data entered was fabricated. (Encls. 18, 23) b(3) b(6)

q. [redacted] was aware that the other [redacted] could not perform the [redacted] analysis. (Encls. 18, 23) b(3) b(6)

Subj: PRELIMINARY INQUIRY REPORT IN THE CASE OF INTEGRITY
ISSUES ON USS HAMPTON (SSN 767)

r. During the week of 16 February 2007 - 23 February 2007, [REDACTED] unsuccessfully attempted to perform primary [REDACTED] analysis, and was directed by [REDACTED] to log fabricated data, to which he admitted doing. (Encls. 19, 24)

b(3) b(6)

s. During the period 16 February 2007 - 21 May 2007, [REDACTED] logged [REDACTED] primary [REDACTED] analyses. In his statement, he admitted that all data entered was fabricated. (Encls. 19, 24)

b(3) b(6)

t. The Check Chemistry requirements of NAVSEA 389-0152, *Water Chemistry Manual*, Article 513.2, were not met. Neither [REDACTED] nor [REDACTED] performed a [REDACTED] analysis for the check chemistry program. This was determined by interview because Check Chemistry records have either been lost or destroyed. (Encls. 16, 18, 19, 20, 21, 23, 24, 25)

b(3) b(6)

u. Neither [REDACTED] knew the Check Chemistry requirements for [REDACTED] analysis. (Encls. 16, 18, 19, 20, 21, 23, 24, 25)

b(3) b(6)

v. [REDACTED] read and understood the Check Chemistry requirements for [REDACTED] analysis and did not make members of his division satisfactorily demonstrate the analysis. (Encls. 16, 17, 18, 19, 20, 21, 22, 23, 24, 25)

b(3) b(6)

w. Radioactive Material (RAM), Tag No. 767-0001-07, contains the forged signatures of CDR Michael Portland and [REDACTED] [REDACTED] (Encls. 17, 22, 33)

b(3) b(6)

x. Several items of RAM, including tag numbers 767-0139-07, 767-0141-07, and 767-0145-07 were properly authorized for transfer from [REDACTED] by the Commanding Officer on 24 August 2007. The transfer was scheduled for 25 August 2007. (Encls. 17, 22, 30, 31, 32)

b(3) b(6)

y. [REDACTED] combined the RAM from tags 767-0139-07, 767-0141-07, and 767-0145-07 into a single bag with Tag No. 767-0156-07 on 24 August 2007. The transfer authorization block of tags 767-0139-07, 767-0141-07, and 767-0145-07 were annotated "Did Not Transfer." (Encls. 30, 31, 32, 33)

b(3) b(6)

z. [REDACTED] admitted forging the signatures of CDR Michael Portland and [REDACTED] on RAM Tag No. 767-0156-07. (Encls. 17, 22)

b(3) b(6)

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aa. The RAM tagged 767-0156-07 was transferred to [REDACTED] b(3)
[REDACTED] 0830 on 25 August 2007. (Encl. 33)

bb. [REDACTED] noted inconsistencies in the Commanding
Officer's signature (unusual ink color; did not match b(3) b(6)
handwriting characteristics of other known CO signatures) and
another minor administrative problem with RAM Tag No. 767-0156-
07. (Encl. 5, 34)

cc. [REDACTED] (COMSUBRON ELEVEN) was informed
of the irregularities on RAM Tag No. 767-0156-07. [REDACTED] b(3) b(6)
[REDACTED] was also informed. (Encl. 5)

dd. [REDACTED] admitted to [REDACTED] that RAM Tag No.
767-0156-07 contained the forged signatures of CDR Michael b(3) b(6)
Portland and [REDACTED] and that he forged both
signatures. (Encls. 17, 22)

ee. [REDACTED] admitted in his statement that he forged
initials of the Chemistry and Radiological Controls Assistant b(3) b(6)
(CRA) and the Engineer Officer on the review sheets for
Radiological Control Logs for the period July - August 2007.
(Encls. 17, 22)

ff. The most recent Organizational Climate Survey (Encl.
26) was completed 1 August 2006. Among other issues, it reports
the following:

[REDACTED] b(2) b(5)
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

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Subj: PRELIMINARY INQUIRY REPORT IN THE CASE OF INTEGRITY
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[REDACTED]

b(2) b(5)

[REDACTED]

b(2) b(5)

[REDACTED]

b(2) b(5)

[REDACTED]

b(2) b(5)

gg. The ship requires ELTs to complete qualification through Engine Room Supervisor (ERS) in approximately 12 months. (Encls. 37 - 41)

hh. COMSUBLANT/COMSUBPACINST C5400.30C, *The Engineering Department Organization Manual*, Article 4.3.9.c, requires completion of ERS qualification within 18 months.

ii. All of the Figure 4-2 (QUALIFICATION GOALS SEQUENCE SUMMARY SHEET) from COMSUBLANT/COMSUBPACINST C5400.30C, *The Engineering Department Organization Manual*, examined had every qualification start date listed as the same date, about a week after reporting aboard. (Encls. 37 - 41)

jj. The statements of CDR Portland and [REDACTED] regarding conversations with [REDACTED] establish that he admitted to falsifying the Commanding Officer's signature on a RAM Tag, falsifying a record of audit for Data Transport Devices (DTD), falsifying a record of safe combination changes and forging the initials of his Leading Petty Officer (LPO) for administrative reviews. (Encls. 34, 35, 42, 43, 44, 45)

3. Opinions: Using the enclosed evidence and statements provided by witnesses, I have formed the following opinions:

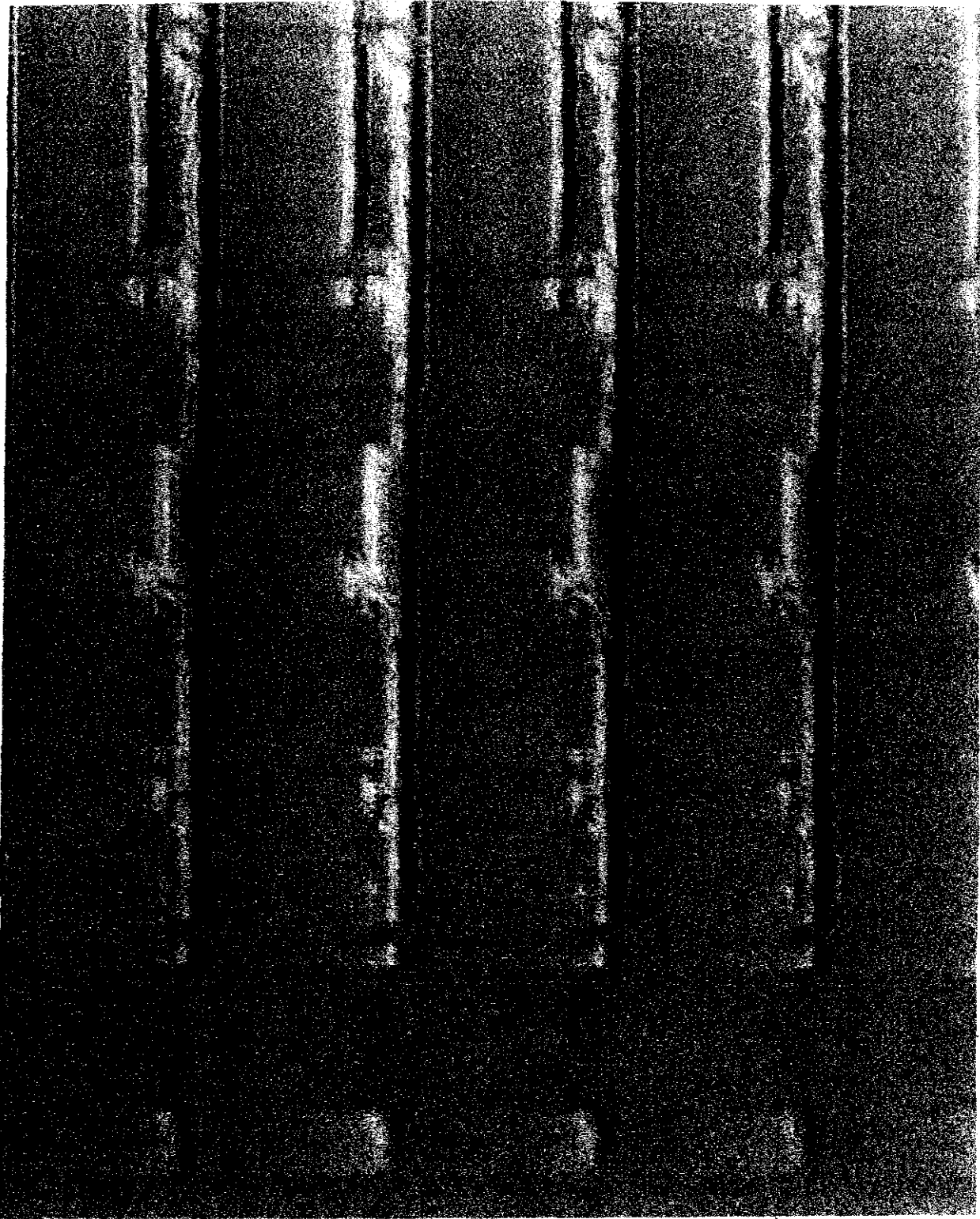
b(3)

[REDACTED]

b(5)

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ISSUES ON USS HAMPTON (SSN 767)

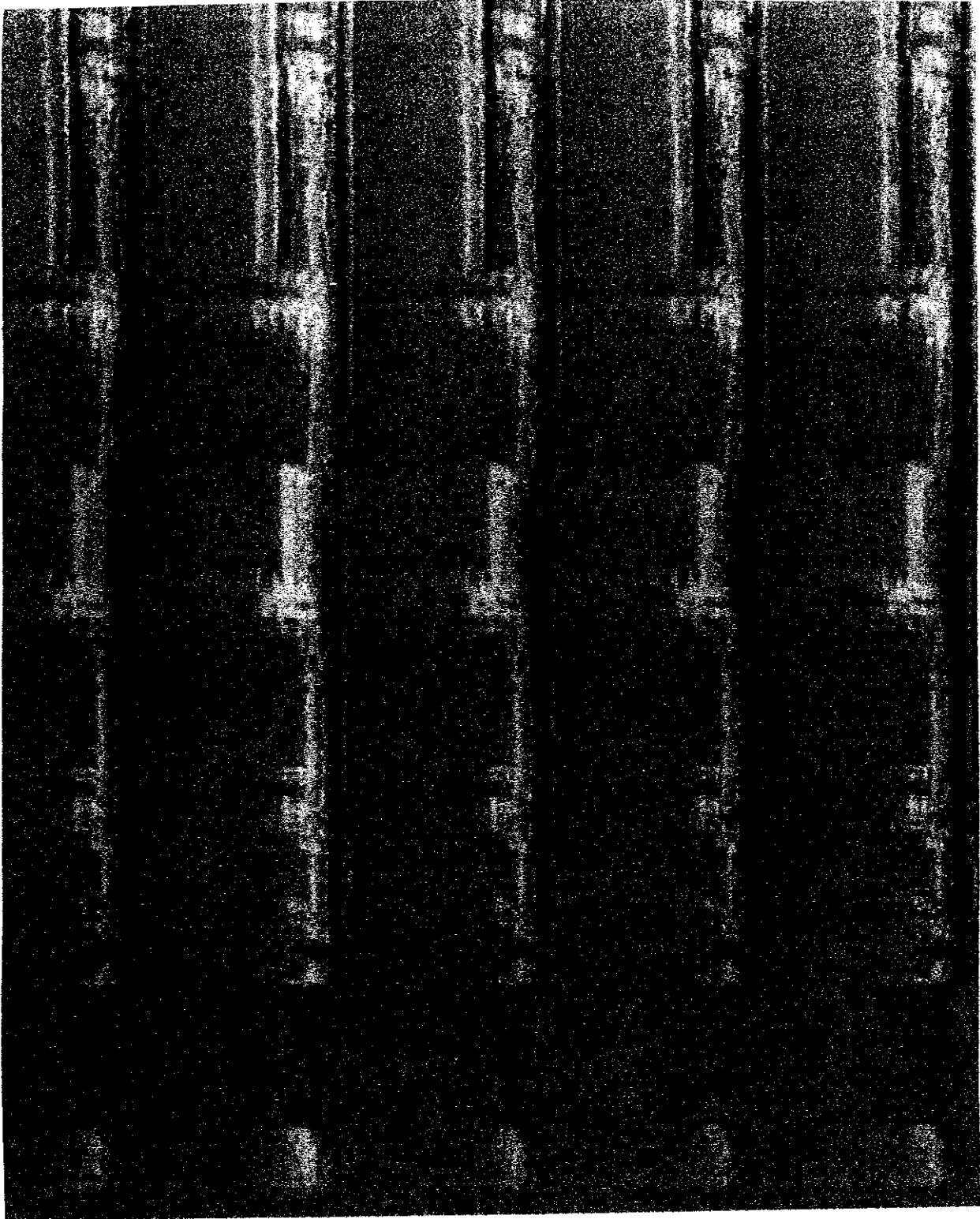


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ISSUES ON USS HAMPTON (SSN 767)

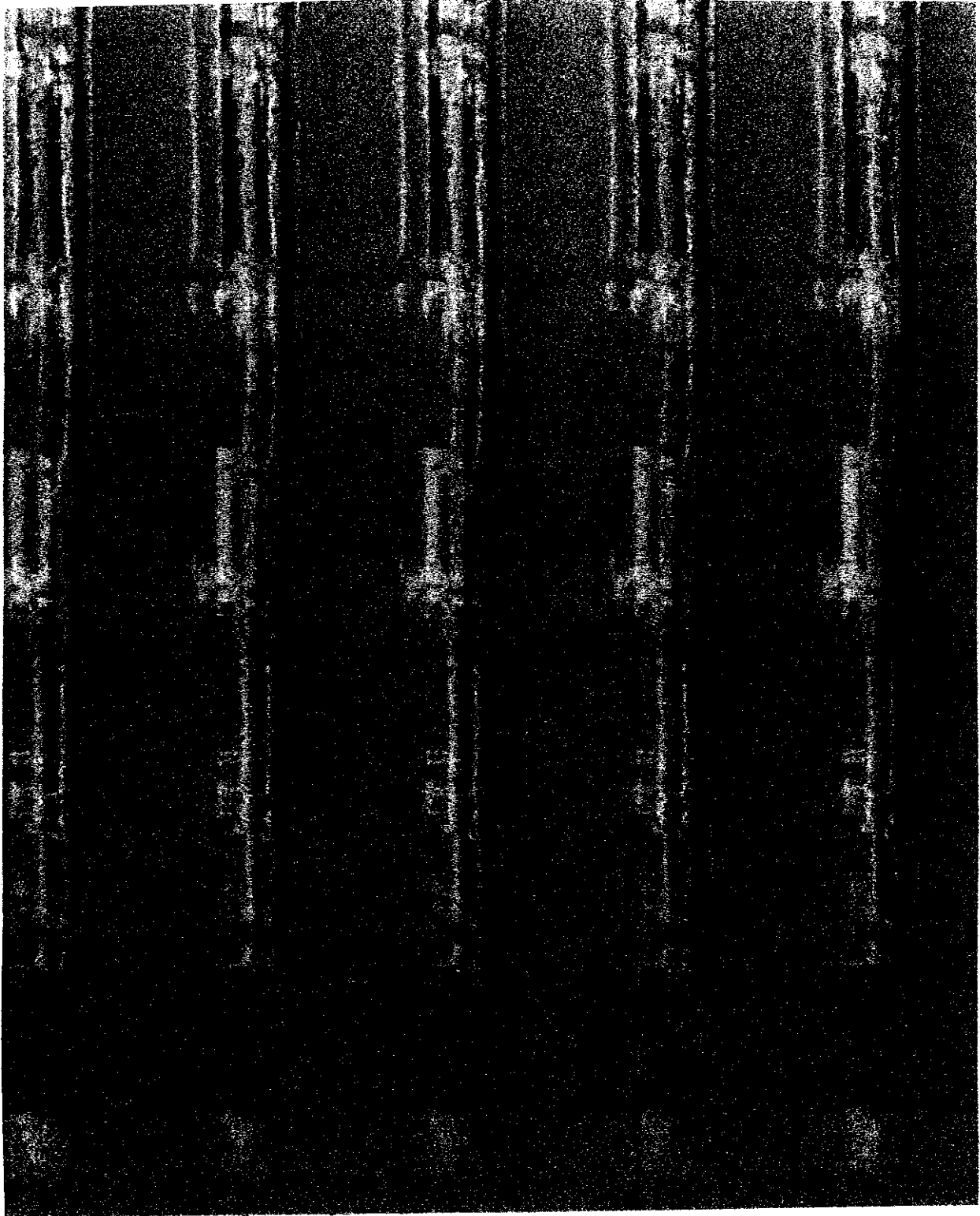


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ISSUES ON USS HAMPTON (SSN 767)

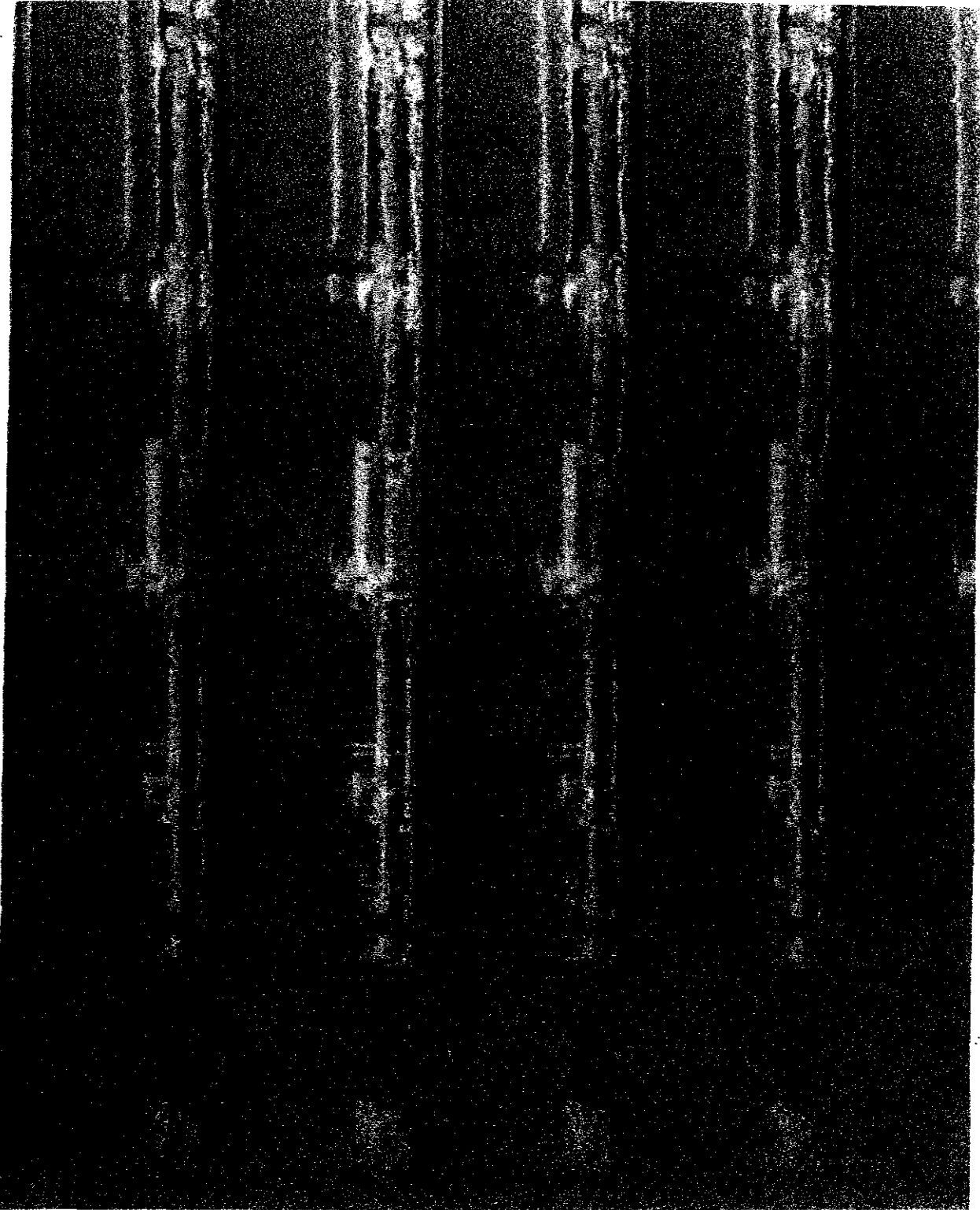


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ISSUES ON USS HAMPTON (SSN 767)

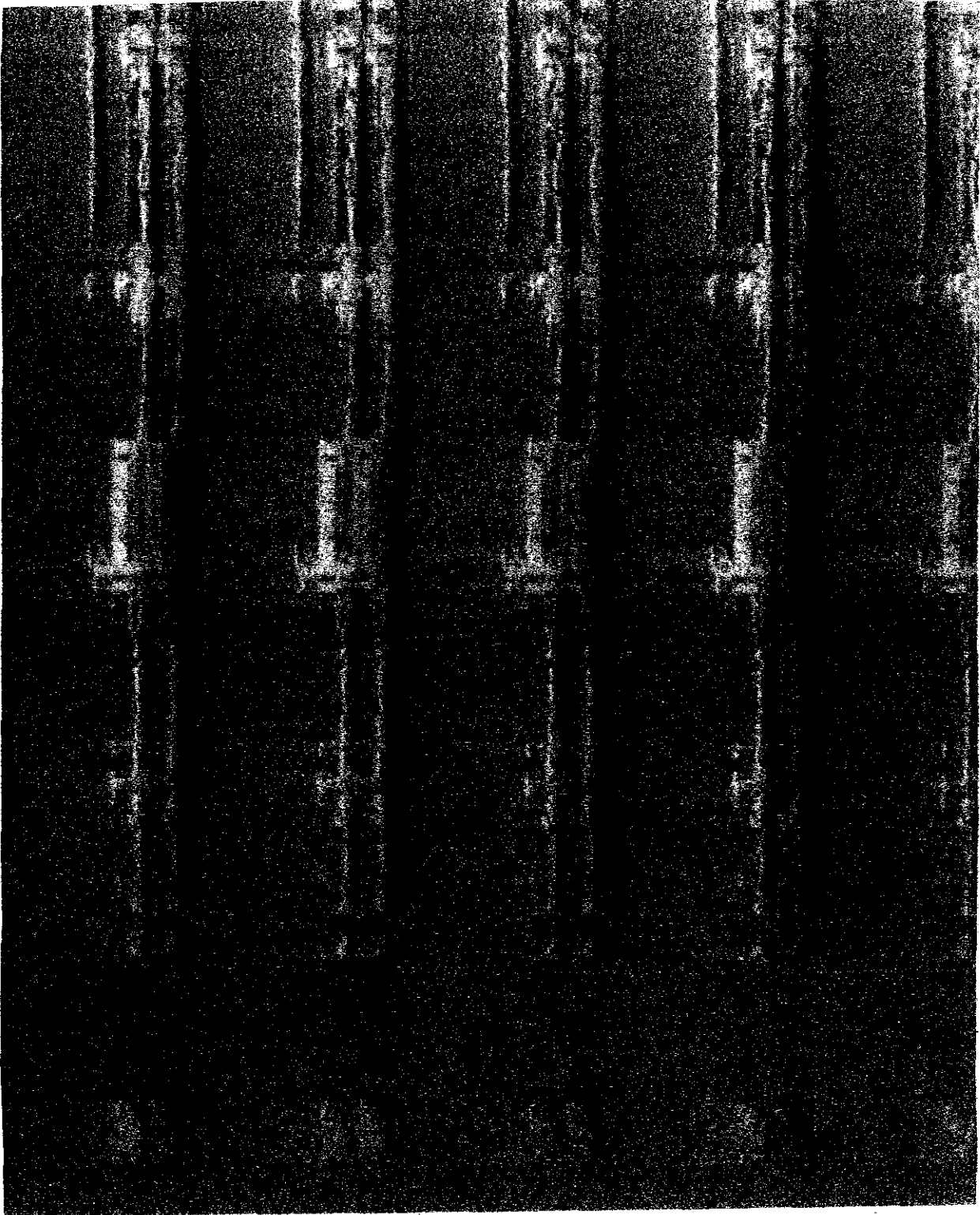


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ISSUES ON USS HAMPTON (SSN 767)



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[REDACTED]

b(5)

[REDACTED]

b(6)

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